



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Budahazi *et al.*

Appl. No.: 10/719,978

Filed: November 24, 2003

For: **Process for Purification of Plasmid  
DNA**

Confirmation No.: 1745

Art Unit: 1645

Examiner: *To Be Assigned*

Atty. Docket: 1530.0550001/JUK/JCI

**Information Disclosure Statement**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of documents AL1-AM2, and AR1 are also provided.

Copies of documents AA1 to AK1 are not provided in accordance with the U.S. Patent and Trademark Office pre-OG notice of July 11, 2003, which states "[t]he Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003."

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

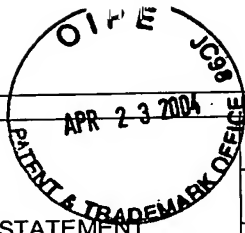
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Date: April 23, 2004

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FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1530.0550001/JUK/JCIAPPLICATION NO.  
10/719,978FIRST NAMED INVENTOR  
Budahazi *et al.*FILING DATE  
November 24, 2003ART UNIT  
1645

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	5,561,064	10/01/1996	Marquet <i>et al.</i>			02/01/1994
	AB1	5,576,196	11/19/1996	Horn <i>et al.</i>			01/13/1995
	AC1	5,707,812	01/13/1998	Horn <i>et al.</i>			08/06/1996
	AD1	6,011,148	01/04/2000	Bussey <i>et al.</i>			08/01/1996
	AE1	6,087,491	07/11/2000	Tang <i>et al.</i>			05/02/1997
	AF1	6,214,586 B1	04/10/2001	McNeilly			12/08/1997
	AG1	2001/0034435 A1	10/25/2001	Nochumson <i>et al.</i>			01/29/2001
	AH1	6,310,186 B1	10/30/2001	Wilson <i>et al.</i>			04/03/2000
	AI1	2001/0043916 A1	11/22/2001	McNeilly <i>et al.</i>			12/20/2000
	AJ1	2002/0019358 A1	02/14/2002	Manthorpe <i>et al.</i>			04/23/2001
	AK1	6,441,160 B2	08/27/2002	Kitamura <i>et al.</i>			05/11/1999

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	WO 95/21250	08/10/1995	WIPO			Yes No
	AM1	WO 96/01268	01/18/1996	WIPO			Yes No
	AN1	EP 0 964 057 A1	12/15/1999	Europe			Yes No
	AO1	WO 00/20114	04/13/2000	WIPO			Yes No
	AP1	WO 00/73318	12/07/2000	WIPO			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	1	Sankar, V., <i>et al.</i> , "Salivary gland delivery of pDNA-cationic lipoplexes elicits systemic immune responses," <i>Oral Diseases</i> 8:275-281, Blackwell Munksgaard (November 2002)
	AS	1	
	AT		

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT</b>	ATTY. DOCKET NO. 1530.0550001/JUK/JCI	APPLICATION NO. 10/719,978
	FIRST NAMED INVENTOR Budahazi <i>et al.</i>	
	FILING DATE November 24, 2003	ART UNIT 1645

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	WO 01/07597	02/01/2001	WIPO			Yes No
	AM2	WO 02/04027	01/17/2002	WIPO			Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR		
	AS		
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